



Patent Application

Attorney Docket No. DEM1P003  
*3623*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: LEE et al.

Attorney Docket No.: DEM1P003

Application No.: 09/741,956

Examiner: Heck, Michael C.

Filed: December 20, 2000

Group: 3623

Title: ECONOMETRIC ENGINE

Confirmation No.: 7270

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**November 24, 2004**

Dear Sirs:

### Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 24, 2004.

Signed:

*Kang Lim*  
Kang S. Lim

### INFORMATION DISCLOSURE STATEMENT FILED

UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- 37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.
- X 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R § 1.97(b), but is believed to be filed before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.
- A certification pursuant to 37 C.F.R. §1.97(e) or
- X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198. The Commissioner is authorized to charge any additional fees that may be due to our Deposit Account No. 50-2766 (Order No. KL-DEM1P003).

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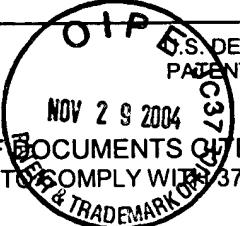
Respectfully submitted,



Kang S. Lim  
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**CUSTOMER NO. 36088**

\KSL IDS d

 <p><b>OIP</b> U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE NOV 29 2004 LIST OF DOCUMENTS OBTAINED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56 TRADEMARK REGISTRATION NUMBER 13</p>	ATTY. DOCKET NO. <b>DEM1P003</b>	SERIAL NO. <b>09/741,956</b>
	APPLICANT <b>LEE</b>	
	FILING DATE <b>12/20/00</b>	GROUP <b>3623</b>
	<b>U.S. PATENT DOCUMENTS</b>	

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,725,208	04/20/2004	Hartman et al.			
	AB	6,456,986	09/24/2002	Boardman et al.			
	AC	6,308,162	10/23/2001	Ouimet et al.			
	AD	6,173,345	01/09/2001	Stevens			
	AE	6,134,534	10/17/2000	Walker et al.			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	WO 98/53415	11/26/1998	Ouimet et al.				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

CA	"PCT International Search Report", Application Number PCT/US03/30488, mailed January 28, 2004.
CB	"PCT International Search Report", Application Number PCT/US02/36710, mailed July 21, 2003.
CC	"Merriam Webster's Collegiate Dictionary", 10th edition, pg 585, Merriam-Webster Incorporated, 1999.
CD	Hernandez, Mauricio A., and Salvatore J. Stolfo, "Real-world Data is Dirty: Data Cleansing and the Merge/Purge Problem", Data Mining and Knowledge Discovery, Vol. 2, Issue 1, January 1998.
CE	Montgomery, Alan Lewis, "The Impact of Micro-Marketing on Pricing Strategies", 1994 The University of Chicago Vol. 55/12-A of Dissertation of Abstracts International, pg. 3922 (Abstract Only)
CF	Busch, John, "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, vol. 37, no. 6, pgs 50-56
CG	YOEMAN, JOHN CORNELIUS JR., "The Optimal Offering Price for Underwritten Securities", 1993, Volume 55/01-A of Dissertation Abstracts International, Page 4743 (Abstract Only).
CH	A.F.M. Smith, "A General Bayesian Linear Model," University of Oxford, April 1972.

EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.